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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/821,271	03/29/2001	Richard L. Maliszewski	042390.P10448	4460

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EXAMINER

BROWN, CHRISTOPHER J

ART UNIT	PAPER NUMBER
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2134

DATE MAILED: 10/05/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/821,271

Applicant(s)

MALISZEWSKI, RICHARD L.

Examiner

Christopher J Brown

Art Unit

2134

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 18 June 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 29 March 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over BOCCON-GIBODCON-GIBOD US 2001/0016836 in view of Angelo US 5,944,821.

As per claims 1, and 7, Boccon-Gibod teaches a computer system comprising a compressor/decompressor (codec), [0025]. Boccon-Gibod fails to teach an integrity agent that verifies the authenticity of the functions.

Angelo teaches a security system that verifies the authenticity of one or more functions (applications) utilized, (Col 4 lines 40-45).

It would have been obvious to one of ordinary skill in the art to add the verification of Angelo to the computer system of Boccon-Gibod because the verification protects resources and guarantees trustworthiness, (Angelo Col 1 lines 20-33).

As per claims 2, and 8 Boccon-Gibod does not teach verification.

Angelo teaches verifying only marked functions (applications),(Col 4 lines 48-50).

As per claims 3, and 9 Boccon-Gibod teaches that the functions are included in the system module, [0025].

Art Unit: 2134

As per claims 4, and 10, Boccon-Gibod does not teach a first verification voucher that describes integrity.

Angelo teaches receiving a first verification vouched describing integrity (generated hash), (Col 4 lines 59-60).

As per claims 5, and 11 Boccon-Gibod does not teach a second verification voucher that describes integrity.

Angelo teaches a second verification voucher, (stored hash) which describes integrity of the functions of the system, (Col 4 lines 60-63). Angelo teaches the vouchers are used to facilitate verification of the function specified, (Col 4 lines 63-66).

As per claims 6 and 12, Boccon-Gibod teaches that the system has a player application, [0026].

As per claims 13, and 20, Boccon-Gibod teaches receiving content at a codec, [0024].

Boccon-Gibod teaches calling a function of a system module to assist in decoding the digital content [0025]. Boccon-Gibod does not teach intercepting the function call and verifying the authenticity of the function.

Angelo teaches intercepting a function call (application call) and verifying the authenticity, (Col 4 lines 55-67, Col 5 lines 20-25).

It would have been obvious to one of ordinary skill in the art to add the verification of Angelo to the computer system of Boccon-Gibod because the verification protects resources and guarantees trustworthiness, (Angelo Col 1 lines 20-33).

As per claims 14 and 21, Boccon-Gibod does not teach authentication.

Art Unit: 2134

Angelo teaches using a digest of a memory image to verify components (applications) of a system, (Col 4 lines 55-67).

As per claims 15 and 22, Boccon-Gibod does not teach authentication.

Angelo teaches preventing playback (application start) of the content if the module is not authentic, (Col 5 lines 2-5).

As per claims 16, 18 and 23, Boccon-Gibod does not teach authentication.

Angelo teaches executing the function (application) if the module is authentic, (Col 4 lines 63-66).

As per claims 17, 19 and 24, Boccon-Gibod does not teach authentication.

Angelo teaches intercepting a function call (application call) to any and all marked functions or applications and verifying the authenticity, (Col 4 lines 55-67, Col 5 lines 20-25).

### ***Conclusion***

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher J Brown whose telephone number is (571)272-3833. The examiner can normally be reached on 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory Morse can be reached on (571)272-3838. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Art Unit: 2134

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Christopher J. Brown

9/29/04



GREGORY MORSE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2134